

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK**

|   |   |                               |
|---|---|-------------------------------|
| <b>SECURITIES AND EXCHANGE<br/>COMMISSION,</b>                    | ) |                               |
|   | ) |                               |
| <b>Plaintiff,</b>   | ) |                               |
| <b>v.</b>   | ) | <b>13 cv 2575 (GBD) (JCF)</b> |
|   | ) |                               |
| <b>GIBRALTAR GLOBAL SECURITIES,<br/>INC., and WARREN A DAVIS,</b> | ) |                               |
|   | ) |                               |
| <b>Defendants.</b>  | ) |                               |

**Notice of Filing of Affidavit of Christina R. Rolle**

Attached herewith for filing in the above referenced action is the sworn Affidavit of Christina R. Rolle, the Executive Director of the Securities Commission of The Bahamas, which Affidavit was previously filed in the case of *Gibraltar Global Securities Inc. v. The Securities Commission of the Bahamas*, pending in the Supreme Court, Public Law Division, Commonwealth of The Bahamas. A copy of the Affidavit was provided to both Magistrate Judge James C. Francis and Philip Patterson, Esq., counsel for Defendants Warren Davis and Gibraltar Global Securities, Inc., prior to the hearing conducted on March 25, 2015.

Respectfully submitted,

Dated: March 26, 2015

/s/ Kevin P. O'Rourke  
KEVIN P. O'ROURKE  
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**CERTIFICATE OF SERVICE**

I hereby certify that on March 26, 2015, a copy of the Notice of Filing of Affidavit of Christina R. Rolle, and attachment thereto, was served on the following counsel for Defendants via the Court's ECF filing system.

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/s/ Christopher Innis